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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Claude O. Clerc, Juha Pekka Nuutinen and Karl Aarne Juhani Laakso

Application No./Patent No.: 10/025,669 Filed/Issue Date: December 18, 2001

Entitled: Stent Delivery Apparatus and Method

Linvatec Biomaterials, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Inventors To: Bionx Implants, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 012406, Frame 0966, or for which a copy thereof is attached.
2. From: Bionx Implants, Inc. To: Linvatec Biomaterials, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8.21.03

Date

Telephone number

Theodore Naccarella

Typed or printed name

Signature

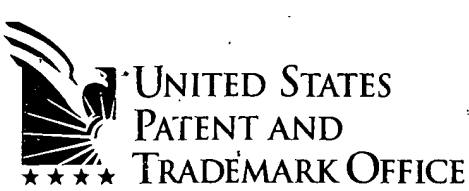
Attorney

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application: Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEBRUARY 21, 2002

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Under Secretary of Commerce For Intellectual Property and  
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SYNNESTVEDT & LECHNER LLP  
THEODORE NACCARELLA  
2600 ARAMARK TOWER  
1101 MARKET STREET  
PHILADELPHIA, PA 19107



\*101932460A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/18/2001

REEL/FRAME: 012406/0966  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CLERC, CLAUDE O.

DOC DATE: 12/14/2001

ASSIGNOR:

NUUTINEN, JUHA-PEKKA

DOC DATE: 12/11/2001

ASSIGNOR:

LAAKSO, KARI AARNE JUHANI

DOC DATE: 12/12/2001

ASSIGNEE:

BIONX IMPLANTS, INC.  
1777 SENTRY PARKWAY WEST  
BLUE BELL, PENNSYLVANIA 29422

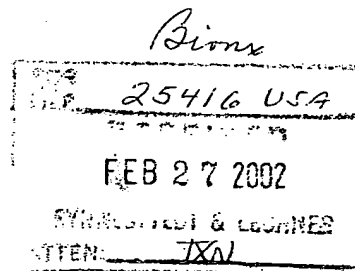
SERIAL NUMBER: 10025669

PATENT NUMBER:

FILING DATE: 12/18/2001

ISSUE DATE:

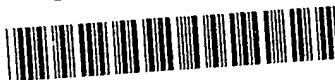
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or copy thereof.

<p>1. Name of Party(ies) conveying an interest:</p> <p>Claude O. Clerc, Juha-Pekka Nuutinen and Kari Aarne Juhani Laakso</p> <p>Additional name(s) of Parties attached? <u>No</u> <i>MRP 12/18/01</i></p>	<p>2. Name and Address of Party(ies) receiving an interest:</p> <p>Bionx Implants, Inc. 1777 Sentry Parkway West Blue Bell, Pennsylvania 29422</p> <p>Additional name(s) and address(es) of Parties attached? <u>No</u></p>
<p>3. Description of interest conveyed:</p> <p><input checked="" type="checkbox"/> Assignment <span style="margin-left: 200px;"><input type="checkbox"/> Change of name</span></p> <p><input type="checkbox"/> Security Agreement <span style="margin-left: 200px;"><input type="checkbox"/> Merger</span></p> <p>Other _____ Execution Date: <u>December 14, 2001, December 11, 2001 and December 12, 2001</u></p>	
<p>4. Application number(s) or patent number(s). Additional numbers attached? <u>No</u> If this document is being filed together with a new application, the execution date of the application is:</p>	
<p>A. Patent Application No.(s) <u>100.25669</u></p>	<p>B. Patent No.(s)</p>
<p>5. Name and address of party to whom correspondence concerning documents should be mailed:</p> <p>Theodore Naccarella Synnestvedt &amp; Lechner LLP 2600 Aramark Tower 1101 Market Street Philadelphia, PA 19107</p>	<p>6. Number of applications and patents involved: <u>1</u></p>
	<p>7. Total Fee (37 C.F.R. § 3.41) <u>\$40.00</u></p> <p>Enclosed: <input checked="" type="checkbox"/> (Check No.) Authorized to be charged: <input type="checkbox"/></p>
	<p>8. Deposit Account Number: Attach duplicate copy of this form if paying by deposit account.</p>
<p>DO NOT USE THIS SPACE</p>	
<p>9. I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.</p> <p>Dated: <u>12.18.01</u></p> <p style="text-align: right;"><i>Theodore Naccarella</i> Theodore Naccarella Registration No. 33,023</p> <p>Total number of pages including cover sheet, attachments, and document: <u>3</u></p>	

JC927 U.S. PTO

10/025669

12/18/01

## ASSIGNMENT AND AGREEMENT

For value received, we, **Claude O. Clerc of Flemington**, in the County of **Hunterdon** and the State of **New Jersey** and **Juha-Pekka Nuutinen of Tampere**, in the County of **Tampere** and Country of **Finland** and **Kari Aarne Juhani Laakso of Tampere**, in the County of **Tampere** and Country of **Finland**; hereby sell, assign and transfer to **Bionx Implants, Inc.**, a corporation of the State of **Pennsylvania**, having an office at **1777 Sentry Parkway West, Blue Bell, Pennsylvania, 19422, U.S.A.**, and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **STENT DELIVERY APPARATUS AND METHOD** described in an application for Letters Patent of the United States, executed by us on even date herewith, and all the rights and privileges in our name and bearing the above noted title, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to **Bionx Implants, Inc.** the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize **Bionx Implants, Inc.** to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of **Bionx Implants, Inc.** to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to **Bionx Implants, Inc.** in the United States and in all countries foreign to the United States, or to such nominees as **Bionx Implants, Inc.** may designate.


We agree that, when requested, we shall, without charge to Bionx Implants, Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Date: Dec 14, 2001



Claude O. Clerc

Date: Dec 11, 2001



Juha-Pekka Nuutinen

Date: Dec 12, 2001



Kari Aarne Juhani Laakso

Microfilm Number 2009037-858 Filed with the Department of State on APR 24 2003

Entry Number 2687429

ACTING Secretary of the Commonwealth

**ARTICLES OF AMENDMENT-DOMESTIC BUSINESS CORPORATION**  
DSCB:15-1915 (Rev 90)

In compliance with the requirements of 15 Pa.C.S. § 1915 (relating to articles of amendment), the undersigned business corporation, desiring to amend its Articles, hereby states that:

1. The name of the corporation is: Bionx Implants, Inc.
2. The (a) address of this corporation's current registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is (the Department is hereby authorized to correct the following information to conform to the records of the Department):  
(a) \_\_\_\_\_  
Number and Street City State Zip County  
(b) c/o: CT Corporation System  
Name of Commercial Registered Office Provider Philadelphia  
County

For a corporation represented by a commercial registered office provider, the county in (b) shall be deemed the county in which the corporation is located for venue and official publication purposes.

3. The statute by or under which it was incorporated is: Business Corporation Law of 1988
4. The date of its incorporation is: July 14, 1999
5. (Check, and if appropriate complete, one of the following):  
☒ The amendment shall be effective upon filing these Articles of Amendment in the Department of State.  
\_\_\_\_\_ The amendment shall be effective on: \_\_\_\_\_ at \_\_\_\_\_  
Date Hour
6. (Check one of the following):  
\_\_\_\_\_ The amendment was adopted by the shareholders (or members) pursuant to 15 Pa.C.S. § 1914(a) and (b).  
☒ The amendment was adopted by the board of directors pursuant to 15 Pa.C.S. § 1914(c).
7. (Check, and if appropriate complete, one of the following):  
☒ The amendment adopted by the corporation, set forth in full, is as follows:  
Article 1 of the Amended and Restated Articles of Incorporation is hereby amended to read in its entirety as follows: "The name of this corporation is Unvatec Biomaterials, Inc."

\_\_\_\_\_ The amendment adopted by the corporation is set forth in full in Exhibit A attached hereto and made a part hereof.

DSN:149098.2/CON256-212553

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8. (Check if the amendment restates the Articles):

X The restated Articles of Incorporation supersede the original Articles and all amendments thereto.

IN TESTIMONY WHEREOF, the undersigned corporation has caused these Articles of Amendment to be signed by a duly authorized officer thereof this 24th day of April, 2003.

Bionx Implants, Inc.  
(Name of Corporation)  
BY: [Signature]  
(Signature)  
TITLE: Secretary

SN:189098,2/CON256-212553



COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF STATE  
CORPORATION BUREAU  
206 NORTH OFFICE BUILDING  
P. O. BOX 8722  
HARRISBURG, PA 17105-8722  
WWW.DOS.STATE.PA.US/CORPS

**LINVATEC BIOMATERIALS, INC.**

THE CORPORATION BUREAU IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. PLEASE NOTE THE FILE DATE AND THE SIGNATURE OF THE SECRETARY OF THE COMMONWEALTH. THE CORPORATION BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE CORPORATION BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT [WWW.DOS.STATE.PA.US/CORPS](http://WWW.DOS.STATE.PA.US/CORPS) OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER : 2887428

MICROFILM NUMBER : 2003037

MICROFILM START - END : 856 - 857

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